FORT HAYS STATE UNIVERSITY
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INSTITUTIONAL REVIEW BOARD FOR HUMAN SUBJECTS RESEARCH

NEW IRB SUBMISSION

I. Project Title and Research Team Members

Project Title:

The Examination of Film Preferences and Attitudinal Outlook

Principal Investigator Name:

Mary Smith, John Brown, and Vic Tiger

Faculty Research Supervisor (If student is the PI):

Dr. Jones

Additional Team Members:

This form must be used to submit an application through the IRBNet system.
No other methods of submission will be accepted.

Access the system here: www.irbnet.org
Student and Adjunct Faculty researchers: Please note that Faculty Research Supervisor approval is required prior to submission to IRB. The Faculty Research Supervisor signature in IRBNet indicates approval and agreement with section XII.
For faster processing, ensure all research team members have completed all required CITI training through https://www.citiprogram.org/ prior to submitting this application.

II. Type of investigator and nature of the activity: (Check all appropriate categories.)

A. Faculty/Staff at FHSU:
- ☐ Submitted for extramural funding to:
- ☐ Submitted for intramural funding to:
- ☑ Project unfunded
- ☐ Quality improvement/program evaluation
- ☐ Quality assurance
- ☐ Other (Please explain)

B. Student at FHSU: ☐ Graduate ☑ Undergraduate ☐ Independent Study
☐ Thesis ☐ Specialist Field Study ☐ Graduate Research Paper

C. Class Project (Course Number and Course Title), explain activity:

PSY 259 A

D. Other than faculty, staff, or student at FHSU (Unaffiliated with FHSU)
Please explain:

N/A

III. Human Subjects Research Ethics Training: The IRB will not review submissions without verification of appropriate CITI training. The Principal Investigator and all members of the research team must complete the appropriate CITI training modules. Faculty Research Advisors, when listed above, must also complete CITI training. If the PI is not affiliated with FHSU, documentation of CITI or other comparable training must be provided.
Date completed CITI training:

Mary Smith: March 2017; John Brown: April 2017; Vic Tiger: May 2016; Dr. Jones: January 2017

IV. Project Information

A. Expected study period from: 8/21/2017 To: 8/20/2018

B. Describe the purpose of the research. Explain what is intended to be discovered, including goals, aims, and objectives and/or state the hypothesis to be tested. Background: provide a brief scientific or scholarly rationale for the research activities, and address gaps in current knowledge.

The project's purpose is to examine optimism/pessimism levels and movie preferences. We hypothesize that people who identify their movie preference as comedy will be more inclined to score higher on the optimism scale. Also, those people who identify their movie preference as drama will be more inclined to score lower on the optimism scale.

☐ Investigators NOT currently affiliated with FHSU will collaborate on this project.

C. (If checked above) Identify any cooperating institutions by name.

N/A

D. This study is being/has been reviewed by another IRB. Yes ◯ No ◉
If yes, please attach relevant documentation.

V. Subject Information

A. Number of subjects:

Approximately 100 FHSU students will be sampled for this study. There will be no exclusions for participating in this study except participants must be 18 years of age or over and 65 years of age or under.

B. Subject Age (Check all that apply):

- ☐ 1-7
- ☐ 8-17
- ☑ 18-65
- ☐ 65+

C. Special Populations (Check all that apply):

- ☐ Minors
- ☐ Non-English speaking
- ☐ Prisoners
- ☐ Individuals with impaired decision-making capacity
- ☐ Individuals who are economically or educationally disadvantaged
- ☐ Individuals with Legally Authorized Representatives
- ☐ Individuals who are vulnerable to coercion or undue influence

D. Describe target demographic of proposed subjects; explain how you will ensure that selection is equitable and that all relevant ethnic groups, genders, and populations have access to the study.

Approximately 100 FHSU students will be sampled for this study. There will be no exclusions for participating in this study except participants must be 18 years of age or over and 65 years of age or under.

E. Describe any specific populations targeted for inclusion or exclusion: Justify criteria based on age, gender, race, ethnicity, sexual orientation, or origin.

There are no exclusions except for age. This is done to avoid sampling protected populations.

VI. Recruitment

A. Describe the recruitment process for the study. Explain in detail how you will gain access to and recruit participants for participation in this project. Upload scripts, emails, letters, advertising, and all marketing materials with your application. Provide a step-by-step description of how potential participants will be recruited.

We will post the research survey on the Psychology Web page in the "Research Opportunities" folder and ask psychology professors to inform students of its posting. We will also ask professors for permission to come into their classes to recruit and hand out surveys in their classes. Please see the attached recruiting script/information statement for more details.

B. Identify all applicable recruitment methods. (Please provide copies of materials).

- ☐ Flyers
- ☐ Purchased Sample List
- ☐ Email
- ☐ Telephone
- ☐ Newspaper
- ☐ Poster
- ☑ Class Announcement
- ☑ Departmental Communication
- ☐ Third Party (Professional or Charitable Organization)
- ☑ Internet
- ☐ Letter
- ☐ Personal or Professional Contacts
- ☐ Amazon MTurk
- ☐ Social Media
- ☐ SONA
- ☐ Snowball method (if used, must describe process in detail)
- ☐ Other (describe)

C. Are you recruiting students from a class you teach or for which you have responsibility? ○ Yes ◉ No

D. Are you recruiting employees who directly or indirectly report to you? ○ Yes ◉ No

E. If yes to either VI C or D, please explain why this population is necessary and describe what precautions have been taken to minimize potential undue influence or coercion.

N/A

VII. Compensation

- ☐ Participants will not receive compensation
- ☑ Students will receive extra credit or course credit
- ☐ Participants will receive monetary compensation
- ☐ Participants' names will be entered into a drawing for a prize

Describe the compensation or credit, including amount, scheduling and method. Explain what will happen if participants withdraw from the study.

Course credit or extra credit points may be offered by the instructor as compensation for participation as outlined in the course syllabus. Participation is voluntary; a participant may choose to withdraw at any time and will not receive any penalty and academic standing will not be affected. Course credit or extra credit will be up to each individual instructor to provide depending on completion of the study. Students who choose not to participate in this research have the option to complete an alternative assignment (i.e., article critiques) as a means to earn research credit/extra credit.

VIII. Risks and Benefits

A. Describe the anticipated benefits of the research for individual subjects.

Participants may benefit from receiving course credit or extra credit points offered by the instructor as compensation for participation as outlined in the course syllabus. Participants may also learn more about their attitudes and preferences through the completing this research project.

B. Describe the anticipated benefits of the research for society or the discipline. Explain how the benefits outweigh the risks.

The benefits to participating in this study are to expand research knowledge on the influence of culture/movies/media on a particiapant's attitudinal outlook.

C. Does this study involve any of the following? (Check all that apply.)

- ☐ Deception
- ☐ Omission
- ☐ Misleading Information/false feedback
- ☐ Physical or mental stress
- ☐ Collection of fluids or tissue
- ☐ Substances taken internally or applied externally
- ☐ Information pertaining to illegal activity
- ☐ Information relating to sexual attitudes, orientation or practice
- ☐ Private identifiable information
- ☐ Personal or sensitive information
- ☐ Private records (academic or medical)
- ☐ Social or economic burden to participants
- ☐ Mechanical or electrical device applied to subjects
- ☐ Information pertaining to substance use
- ☐ DXA Scan, X-RAY, MRI
- ☐ Information that, if released, could damage an individual's financial standing, reputation, employability, or cause social stigmatization, discrimination, or embarrassment
- ☐ Other
- ☑ **None of these**

D. Describe the nature and degree of the risk or harm checked above. If using deception, include a justification for the deception.

No deception will be used in the current study and there are no foreseen emergencies that should arise. The faculty advisor will be present during data collection. Although we do not expect any adverse effects, students will also be provided a debriefing (attached) with contact information for the faculty advisor and the Kelly Center.

E. What steps will be taken to minimize risks or harm and to protect the subject's welfare (when risk is greater than minimal)?

There are no foreseeable risks involved with participation in this study. However, if participants feel uncomfortable at any time while completing the study, they may skip questions that make them feel uncomfortable and/or stop their participation at any time. In addition, no identifiable information will be collected linking the survey responses to the participant's name in order to minimize any risks.

IX. Emergencies

How will emergencies or unanticipated events related to the research be handled if they arise? (Please note that this refers to an emergency situation associated with the research activity not an emergency such as a fire alarm.)

We do not anticipate any emergencies. However, the faculty advisor will be present during data collection to help manage any unforeseen issues. Also, participants will be reminded that their participation is voluntary and that their survey responses will be anonymous. Finally, if any emergencies do occur, the faculty advisor will contact the IRB immediately.

X. Data Collection and Security

A. Procedures: Describe the setting and tasks subjects will be asked to perform. Describe the frequency and duration of procedures, tests, and experiments. Include a timeline or a step-by-step description.

Participants will be read the recruiting script/information statement (see attached). If they are interested in possibly participating, they will be given two copies of the informed consent to read and sign. They will keep one copy of the informed consent for their records and turn one copy in to the researchers. They will be given a chance to ask any questions and sign the consent if they wish to participate. We will reiterate to participants that their participation is voluntary and that they may stop the study at any time. They will be given the survey (see below). When finished with the surveys, they will place them in a box at the front of the room. When participants have finished the study, they will be read a debriefing statement (see attached) at which time they will also be asked if they have any additional questions and reminded who to contact should they have questions after completing the study.

B. Describe the steps that will be taken to secure the data during storage, use, and transmission. How and where will the data be stored, for how long will it be kept, when will it be destroyed, what safeguards are in place for data with identifying information? Include a description of physical and electronic security.

Data will be stored under lock & key in the faculty advisor's office; only the faculty advisor will have access to the data. Data will be entered to a computer software system (SPSS) and will be kept on the faculty advisor's password protected, work laptop. Data will be maintained as detailed by the APA ethics code.

C. Identify any direct identifiers like name, unique identifier, address, email, etc. that will be kept with the records. Explain why it is necessary to record the identifiers and describe the coding system to be used.

Informed consents will be gathered separately from surveys, since the informed consent is signed. Surveys will contain no identifying information. Surveys will be turned in when the participant places his/her survey in a box at the front of the classroom.

D. If retaining a link between study code numbers and direct identifiers after data collection is complete, please explain why this is necessary, how long the link will be kept, how it will be stored, and when it will be destroyed.

N/A

E. Data collection methods (check all that apply)

- ☐ Observation
- ☐ Interviews
- ☐ Focus groups
- ☑ Surveys/Questionnaires
- ☐ Psychological tests
- ☐ Educational tests
- ☐ Blood draw, saliva swab, or other biological sampling
- ☐ Audio recording (see section X. F)
- ☐ Video Recording (see section X. F)
- ☐ Previously collected data (no individual identifiers)
- ☐ Previously collected data (with individual identifiers)
- ☐ Internet-based methods
- ☐ Other (describe)

F. If using audio or video recording, describe how the recordings will be used, how confidentiality will be maintained, who will have access, and how and when the recordings will be destroyed or completely deidentified.

N/A

G. Protected data to be collected (check all that apply)
☐ Protected health Information (see Section X, Part H)
☐ Unique ID number (e.g. employee ID, driver's license number, student ID number, etc.)
☐ Academic records
☐ Social security number
☐ Other personally identifiable information

H. If the research involves protected health information, it must comply with the HIPAA Privacy Rule.
Select one:
☑ The research does not involve protected health information
Do you plan to use or disclose identifiable health information outside FHSU?
○ Yes ◉ No
If yes, the consent form must include a release of protected health information.

The IRB may make a waiver of authorization for disclosure if criteria are met under the HIPAA Privacy Rule. *If a waiver of authorization is being requested, the researcher must contact the IRB administrator prior to submitting this application.*

Will the protected health information to be used or disclosed be deidentified, or will a limited data set be used or disclosed? *Please describe*:

N/A

I. Sharing results with subjects (Indicate if results, like tests or incidental findings, will be shared with the subject or others, and if so, indicate how it will be shared.)

N/A. Should the study be presented at a conference or published, the results will be aggregated with no names or identifying information available.

J. Withdrawal of subjects (Describe the procedures to be followed when subjects withdraw from research or under what circumstances subjects may be withdrawn without their consent.)

Participation in the study is voluntary; participants may choose to withdraw at any point in the study and their materials will not be used in the study if they decide to stop their participation. Participants will not receive any penalty for withdrawing and their academic standing will not be affected if they choose to withdraw from the study.

XI. Informed Consent

A. Specify the type of informed consent you will use with this research project.

☑**Signed Consent**

Consent forms included with this submission:

☑Adult ☐Assent Script/Procedures ☐Parent/Guardian
☐Foreign Language Version ☐Agency Consent

☐**Oral Consent** (Waiver of documentation of consent, include script with application)

☐Signed consent form would be the only record linking the subject to the research, and the principal risk of signing a consent form would be the potential harm resulting from a breach of confidentiality.

☐The research presents no more than minimal risk of harm to subjects and involves no procedures for which written consent is normally required outside the research context.

☑**Information Statement** (include with application)

☑**Debriefing Statement** (include with application)

B. Describe any potential concerns with obtaining informed consent (Foreign language, minimizing possibility of coercion, etc.)

We do not anticipate any concerns with obtaining informed consent; students will be given an opportunity to ask questions before signing the consent. In order to minimize possibility of perceived coercion, the researcher will reiterate that the study is voluntary and participants may withdraw at any time without penalty. We will also remind participants that their names will not be linked in any way with their survey responses.

C. Describe the process you will follow to obtain consent and/or assent. Include names of individuals on the research team who will obtain consent, where and when the process will take place, and how you will ensure the subject's understanding.

Participants will be read the recruiting script/information statement. If they are interested in possibly participating, then they will be given the informed consent to read. They will be given a chance to ask any questions and sign the consent if they wish to participate. The primary researchers (Mary Smith, John Brown, Vic Tiger) and the faculty advisory (Dr. Jones) will be present when consent is obtained in the classroom and available to answer any questions.

All materials related to this study must be uploaded into your IRBNet study workspace. Instructions for using IRBNet are located at the FHSU IRB website. Required materials may include, but are not limited to:

- Completed application
- Copies of all recruiting materials, including scripts, emails, letters, posters, advertising, etc.
- Copies of all measurements, instruments, surveys, interview questions being used, etc.
- All consent forms and assent forms or scripts (for children).
- Debriefing materials, if used.

Please note that all materials and scripts to be used for this study need to be reviewed and approved by the IRB.

XII Certifications:

I am familiar with the policies and procedures of Fort Hays State University regarding human subjects in research. I subscribe to the university standards and applicable state and federal standards and will adhere to the policies and procedures of the Institutional Review Board for the Protection of Human Subjects. I will comply with all instructions from the IRB at the beginning and during the project or will stop the project.

AND

I am familiar with the published guidelines for the ethical treatment of human subjects associated with my particular field of study.

Statement of Agreement:

By electronically signing and submitting this application package, I certify that I am willing to conduct and /or supervise these activities in accordance with the guidelines for human subjects in research. Further, I certify that any changes in procedures from those outlined above or in the attached proposal will be cleared through the IRB.

If the Principal Investigator is a student, the electronic signature of the Faculty Advisor certifies:
1) Agreement to supervise the student research; and, 2) This application is ready for IRB review. The Student is the "Principal Investigator". The Faculty Research Advisor is the "Advisor". Designees may not sign the package. It is the student's responsibility to contact their Faculty Research Advisor when the study is ready for the Advisor's signature.

I certify the information provided in this application is complete and correct.
I understand that I have ultimate responsibility for the conduct of the study, the ethical performance of the project, the protection of the rights and welfare of human subjects and strict adherence to any stipulations imposed by the IRB.
I agree to comply with all FHSU policies, as well as all federal, state and local laws on the protection of human subjects in research, including:

- Ensuring all study personnel satisfactorily complete human subjects research training.
- Performing the study according to the approved protocol.
- Implementing no changes in the approved study without IRB approval.
- Obtaining informed consent from subjects using only the currently approved process and form.
- Protecting identifiable health information in accordance with HIPAA Privacy rule.
- Promptly reporting significant or untoward adverse effects or unanticipated problems to the IRB.

Example of Adult Informed Consent Statement

Study name: The Examination of Film Preferences and Attitudinal Outlook

INTRODUCTION
The Department of Psychology at Fort Hays State University supports the practice of protection for human subjects participating in research. **You are being asked to participate in a research study. It is your choice whether or not to participate.** The following information is provided for you to decide whether you wish to participate in the present study. You may refuse to sign this form and not participate in this study. You should be aware that even if you agree to participate, you are free to withdraw at any time. If you do withdraw from this study, it will not affect your relationship with this unit, the services it may provide to you, or Fort Hays State University.

PURPOSE OF THE STUDY
The purpose of this study is to examine optimism/pessimism levels and movie preferences. We hypothesize that people who identify their movie preference as comedy will be more inclined to score higher on the optimism scale. Also, those people who identify their movie preference as drama will be more inclined to score lower on the optimism scale.

PROCEDURES
You will be given a survey asking questions about your thoughts/opinions, please answer all questions honestly. Your participation is voluntary and anonymous; you may withdraw at any time if you do not wish to continue. When you finish with the surveys, you will place them in a box at the front of the room. When you have finished the study, you will be read a debriefing statement and asked if you have any additional questions. We will also have the contact information for who you could contact should you have questions after completing the study.

If you decide to participate in this research study, you will be asked to sign this consent form after you have had all of your questions answered and understand what will happen to you. The length of time of your participation in this study is 15 minutes. Approximately 100 participants will be in this study.

RISKS
There are no foreseeable risks involved with participation in this study. However, if you feel uncomfortable at any time while completing the study, you may skip questions that make you feel uncomfortable and/or stop participation at any time. In addition, no identifiable information will be collected linking the survey responses to your name in order to minimize any risks.

BENEFITS
You may benefit from receiving course credit or extra credit points offered by the instructor as compensation for participation. You may also learn more about your attitudes and preferences through completing this research project. Another benefit to your participation in this study is that it may help to expand current research knowledge on the influence of culture/movies/media on students' attitudinal outlook.

PAYMENT TO PARTICIPANTS
Participating in this study does not include monetary payment. However, you may receive extra credit or research credit for participating as outlined by your professor.

PARTICIPANT CONFIDENTIALITY (HOW WILL PRIVACY BE PROTECTED)
Your name will not be linked to your responses to the survey items nor will it be associated in any publication or presentation with the information collected about you or with the research findings from this study. Instead, the researchers will use a study number or a pseudonym rather than your name. Your identifiable information will not be shared unless (a) it is required by law or university policy, or (b) you give written permission. Permission granted on this date to use and disclose your information remains in effect indefinitely. By signing this form you give permission for the use and disclosure of your information for purposes of this study at any time in the future.

OTHER IMPORTANT ITEMS YOU SHOULD KNOW

- **Withdrawal from the study:** You may choose to withdraw at any point in the study and your materials will not be used in the study if you decide to stop participation. You will not receive any penalty for withdrawing and your academic standing will not be affected if you choose to withdraw from the study.
- **Funding:** There is no outside funding for this research project.
- **Alternative options:** If your instructor is providing extra credit or research credit for your participation, he/she should also provide you with alternative ways to earn this credit that do not include participating in this research study if you choose not to. Please speak directly with your instructor about alternative options.

REFUSAL TO SIGN CONSENT AND AUTHORIZATION
You are not required to sign this Consent and Authorization form and you may refuse to do so without affecting your right to any services you are receiving or may receive from Fort Hays State University or to participate in any programs or events of Fort Hays State University. However, if you refuse to sign, you cannot participate in this study.

CANCELLING THIS CONSENT AND AUTHORIZATION
You may withdraw your consent to participate in this study at any time. You also have the right to cancel your permission to use and disclose further information collected about you, in writing, at any time, by sending your written request to: M. J. Jones, Department of Psychology, 600 Park St. Fort Hays State University, Hays, KS 67601.

If you cancel permission to use your information, the researchers will stop collecting additional information about you. However, the research team may use and disclose information that was gathered before they received your cancellation, as described above.

QUESTIONS ABOUT PARTICIPATION
Questions about procedures should be directed to the researchers listed at the end of this consent form.

PARTICIPANT CERTIFICATION:
I have read this Consent and Authorization form. I have had the opportunity to ask, and I have received answers to, any questions I had regarding the study. I understand that if I have any additional questions about my rights as a research participant, I may call (785) 628-4349, write the Office of Scholarship and Sponsored Projects (OSSP), Fort Hays State University, 600 Park St., Hays, Kansas 67601, or email irb@fhsu.edu.

I agree to take part in this study as a research participant. By my signature I affirm that I am at least 18 years old and that I have received a copy of this Consent and Authorization form.

_____ _____
Type/Print Participant's Name Date

_____
Participant's Signature

RESEARCHER CONTACT INFORMATION:

Mary Smith
Principal Investigator
Department of Psychology
600 Park St.
Fort Hays State University
Hays, KS 67601
(785) 628-5555

John Brown
Principal Investigator
Department of Psychology
600 Park St.
Fort Hays State University
Hays, KS 67601
(785) 628-5555

Vic Tiger
Principal Investigator
Department of Psychology
600 Park St.
Fort Hays State University
Hays, KS 67601
(785) 628-5555

M. J. Jones, Ph.D.
Faculty Supervisor
Department of Psychology
600 Park St.
Fort Hays State University
Hays, KS 67601
(785) 628-5555

Recruitment Message: "The Examination of Film Preferences and Attitudinal Outlook"

Hello,

We are Mary Smith, John Brown, and Vic Tiger, and we are undergraduate students in the Psychology department here at Fort Hays. We would like to invite you to participate in a psychology experiment. The purpose of our experiment is to see how optimism/pessimism levels impact movie preferences. If you choose to participate, you will be given a survey to fill out asking questions about your thoughts and opinions related to optimism, pessimism, and movie preferences. Participating might help you to learn something new about yourself. Your professor also may be offering extra credit for participating. We would appreciate your help with this research project. If you would like to participate you will be given an informed consent that includes more information about the study. You will have time to read the consent and ask any questions you might have before signing the consent form. After your questions are answered, you will then be asked to complete a short survey. Please do not hesitate to email us and/or our faculty supervisor if you have any questions about participating in this study.

Thank you!

Mary Smith
msmith@mail.fhsu.edu

John Brown
jbrown@mail.fhsu.edu

Vic Tiger
vtiger@mail.fhsu.edu

Dr. M. J. Jones
mjjones@fhsu.edu
(Faculty Supervisor)

“The Examination of Film Preferences and Attitudinal Outlook”

Debriefing Form

You have just completed a study titled “The Examination of Film Preferences and Attitudinal Outlook.” The purpose of this study was to examine how an individual’s optimism/pessimism levels impact their film preferences. You were asked to fill out a survey asking questions about your thoughts and opinions related to different topics. The information provided will help researchers understand how impactful a person’s attitudinal outlook is on deciding what type of film they will want to watch. This research might help us to better understand why people make certain entertainment decisions.

The research team greatly appreciates your help with this project! If you feel distressed after your participation in this project, you can contact the Kelly Center (free to students) at 785-628-4401 to schedule an appointment to talk with someone about how the project impacted you, or the Office of Scholarship and Sponsored Projects at 785-625-4349 if you have general questions about the research process. For more information about this research project, you can contact the principal researchers, Mary Smith, John Brown, and/or Vic Tiger. You also may contact the faculty advisor on this project, Dr. Jones.

Sincerely,

Mary Smith, msmith@mail.fhsu.edu

John Brown, jbrown@mail.fhsu.edu

Vic Tiger, vtiger@mail.fhsu.edu

Dr. M. J. Jones, mjjones@fhsu.edu
(Faculty Supervisor)